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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0002.P4.0.USN

First Inventor Wagner, et al.

Title Non-Specific Binding Resistant Protein Arrays and Methods for Making the Same

Express Mail Label No. ET 662 426 832 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 99]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. ☐ Oath or Declaration [Total Sheets 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09/353,555

Prior application information

Examiner C Chin

Group Art Unit 1641

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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44,755

Signature

Date

10/27/2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	0002.P4.0.USN
Filing Date	10/27/2001
First Named Inventor	Wagner, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	0002.P4.0.USN

TOTAL AMOUNT OF PAYMENT (\$ ) 370

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1.	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to	3. ADDITIONAL FEES	
Deposit Account Number	501829	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
Deposit Account Name	Zyomyx, Inc.	Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		105 130 205 65	Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		127 50 227 25	Surcharge - late provisional filing fee or cover sheet
2.	Payment Enclosed:	139 130 139 130	Non-English specification
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		147 2,520 147 2,520	For filing a request for ex parte reexamination
<b>FEE CALCULATION</b>		112 920* 112 920*	Requesting publication of SIR prior to Examiner action
1. BASIC FILING FEE		113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710 201 355	Utility filing fee	370	
106 320 206 160	Design filing fee		
107 490 207 245	Plant filing fee		
108 710 208 355	Reissue filing fee		
114 150 214 75	Provisional filing fee		
SUBTOTAL (1)			(\$1,355-370)
2. EXTRA CLAIM FEES			
Total Claims	11	Extra Claims	0
Independent Claims	3	Fee from Below	0
Multiple Dependent		Fee Paid	0
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18 203 9	Claims in excess of 20		
102 80 202 40	Independent claims in excess of 3		
104 270 204 135	Multiple dependent claim, if not paid		
109 80 209 40	**Reissue independent claims over original patent		
110 18 210 9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)			(\$0)
* or number previously paid, if greater; For Reissues, see above			
		Other fee (specify)	
		SUBTOTAL (3)	
		(\$0)	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Gregory L. Heinkel	Registration No. (Attorney/Agent)	44,755
Signature		Telephone	510-266-7725
		Date	Oct 27, 2001

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